

1049 U.S. PTO  
02/26/02

03-01-02

A  
PTO/SB/05 (12/97)

Please type a plus sign (+) inside this box →

Approved for use through 09/30/00. OMB 0651-0032

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	TI-32716
First Named Inventor or Application Identifier	Yamano, Koyu
Title	AN OPTICAL DISK IDENTIFICATION CIRCUIT
Express Mail Label No	EL645451505US

11110705742  
02/26/02

**APPLICATION ELEMENTS**

See MPEP Chapter 600 concerning utility patent application contents

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

[Total Pages

22

2.  Specification [Total Pages 22]  
(preferred arrangement set forth below)  

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 USC d113) [Total Sheets 8]

4. Oath or Declaration [Total Pages X]

a.  Newly Executed (original or copy)  
b.  Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 completed)

**[Note Box 5 below]**

i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §1.63(d)(2) and 1.33(b)

5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of  
the oath or declaration is supplied under Box 4b, is considered as  
being part of the disclosure of the accompanying application and is  
hereby incorporated by reference therein

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

6.  Microfiche Computer Program (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Copy  
b.  Paper Copy (identical to computer copy)  
c.  Statement verifying identical of above copies

**ACCOMPANYING APPLICATION PARTS**

8.  Assignment Papers (cover sheet & Documents(s))

9.  37 CFR §3 73(b) Statement  
(when there is an assignee)  Power of Attorney

10.  English Translation Document (if applicable)

11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

14.  Small Entity Statement(s)  Statement filed in prior application  
(PTO/SB/09-12)  Status still proper and desired

15.  Certified Copy of Priority Document(s)  
if foreign priority is claimed

16.  Other  Claim for Priority

\*A new statement is required to be entitled to pay small entity fees, except  
where one has been filed in a prior application and is being relied upon

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application No: / .

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

**18. CORRESPONDENCE ADDRESS**



Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or

Correspondence address below

NAME      W. Daniel Swayze, Jr.  
Texas Instruments Incorporate

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Name (Print/Type)

W. Daniel Swayze, Jr.

Registration No. (Attorney/Agent)      34,478

Signature

*W. Daniel Swayze, Jr.*

Date

2-26-2001

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**FEET TRANSMITTAL**

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12

TOTAL AMOUNT OF PAYMENT

(\$ 740)

**Complete If Known**

Application Number	TBD
Filing Date	February 26, 2002
First Named Inventor	Yamanol, Koyu
Examiner Name	TBD
Group / Art Unit	TBD
Attorney Docket No.	TI-32716

METHOD OF PAYMENT				FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge to the following Deposit Account, Deposit Account Number <b>20-0668</b>				3. ADDITIONAL FEES					
Deposit Account Name <b>Texas Instruments Incorporated</b>				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)		
<input checked="" type="checkbox"/> Charge any additional fee required or credit any overpayment <input type="checkbox"/> Charge all indicated fees and any additional fee required or credit any overpayment				105	130	205	65	Fee Description	Fee Paid
				127	50	227	25	Surcharge - late filing fee	
				139	130	139	130	Surcharge - late provisional filing fee or cover sheet.	
				147	2,520	147	2,520	Non-English specification	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other				112	920*	112	920*	For filing a request for reexamination	
				113	1,840*	113	1,840*	Requesting publication of SIR prior to Examiner action	
				115	110	215	55	Requesting publication of SIR after Examiner action	
				116	400	216	200	Extension for reply within first month	
				117	950	217	475	Extension of time within second month	
				118	1,510	218	755	Extension of time within third month	
				128	2,060	228	1,030	Extension of time within fourth month	
				119	310	219	155	Extension of time within fifth month	
				120	310	220	155	Notice of Appeal	
				121	270	221	135	Filing a brief in support of an appeal	
				138	1,510	138	1,510	Request for oral hearing	
				140	110	240	55	Petition to institute a public use proceeding	
				141	1,320	241	660	Petition to revive - unavoidable	
				142	1,320	242	660	Petition to revive - unintentional	
				143	450	243	225	Utility issue fee (or reissue)	
				144	670	244	335	Design issue fee	
				122	130	122	130	Plant issue fee	
				123	50	123	50	Petitions to the Commissioner	
				126	240	126	240	Petitions related to provisional applications	
				581	40	581	40	Submission of Information Disclosure Stmt.	
				146	790	246	395	Recording each patent assignment per property (time number of properties)	
				149	790	249	395	Filing a submission after final rejection (37 CFR 1.129(a))	
								For each additional invention to be examined (37 CFR 1.129(b))	
2. EXTRA CLAIM FEES				Fee from below					
Total Claims	4	-20** =	0	x		=	0	Extra Claims	Fee Paid
Independent Claims	1	-3** =	0	x		=	0		
Multiple Dependent						=			
** or number previously paid, if greater, For Reissue, see below									
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description					
103	18	203	9	Claims in excess of 20					
102	80	202	40	Independent Claims in excess of 3					
104	270	204	135	Multiple dependent claims in excess of 3					
109	80	209	40	**Reissue independent claims over original patent					
110	18	210	9	**Reissue claims in excess of 20 and over original patent					
SUBTOTAL (2)				(\$ 0)				SUBTOTAL (3)	
*Reduced by Basic Filing Fee Paid									

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	W. Daniel Swayze, Jr.			Reg. Number	34,478
Signature		Date	2-26-2002	Deposit Account User ID	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.